

109. Reaction of Hydroxymethyl- and Alkyl-Substituted Azulenes with Manganese Dioxide

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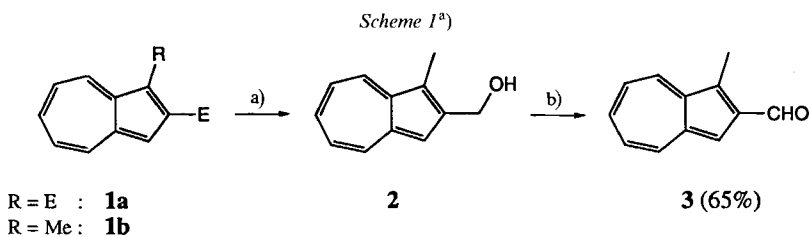
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Dedicated to *Richard Neidlein* on the occasion of his 65th birthday

(21. VII. 95)

It is shown that the 2-(hydroxymethyl)-1-methylazulenes **6** are being oxidized by activated MnO_2 in CH_2Cl_2 at room temperature to the corresponding azulene-1,2-dicarbaldehydes **7** (Scheme 2). Extension of the MnO_2 oxidation reaction to 1-methyl- and/or 3-methyl-substituted azulenes led to the formation of the corresponding azulene-1-carbaldehydes in excellent yields (Scheme 3). The reaction of unsymmetrically substituted 1,3-dimethylazulenes (cf. **15** in Scheme 4) with MnO_2 shows only little chemoselectivity. However, the observed ratio of the formed constitutionally isometric azulene-1-carbaldehydes is in agreement with the size of the orbital coefficients in the HOMO of the azulenes. The reaction of guaiazulene (**18**) with MnO_2 in dioxane/ H_2O at room temperature gave mainly the expected carbaldehyde **19**. However, it was accompanied by the azulene-diones **20** and **21** (Scheme 5). The precursor of the demethylated compound **20** is the carbaldehyde **19**. Similarly, the MnO_2 reaction of 7-isopropyl-4-methylazulene (**22**) as well as of 4,6,8-trimethylazulene (**24**) led to the formation of a mixture of the corresponding azulene-1,5-diones and azulene-1,7-diones **20/23** and **25/26**, respectively, in decent yields (Schemes 6 and 7). No MnO_2 reaction was observed with 5,7-dimethylazulene.

Introduction. – One of the most versatile reagents for the dehydrogenation of allylic and benzylic alcohols leading to the corresponding carbaldehydes is MnO_2 in one or the other activated form (cf. [1] and especially [2])²). We have already reported that this method can successfully also be applied to 2-(hydroxymethyl)azulenes which give the corresponding azulene-2-carbaldehydes [4] [5]. A further example is shown in Scheme 1.



a) DIBAH in hexane/ Et_2O , 0° ; **1a** \rightarrow **2**: 75%; **1b** \rightarrow **2**: 92%. b) MnO_2 (10-fold excess by weight with respect to **2**)/ CH_2Cl_2 , room temperature, 10 min. All described reactions have been performed with 'Mangan(IV)-oxid, gefällt, aktiv' from *Merck-Schuchardt* (see also later remarks).

^{a)} E = $COOCH_3$ in this and the following schemes.

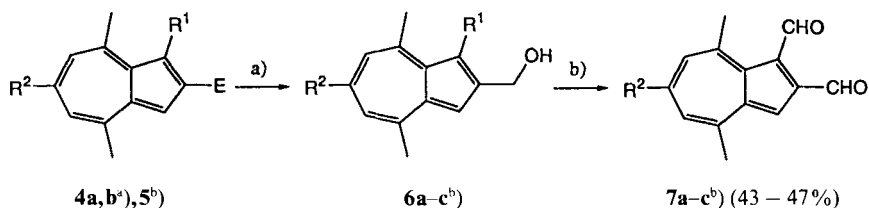
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²⁾ Recently, it has been shown that such dehydrogenation reactions with MnO_2 may be improved or even made possible under ultrasound irradiation [3].

2-(Hydroxymethyl)-1-methylazulene (**2**), which is available by DIBAH reduction of azulene-1,2-dicarboxylate **1a** (cf. [6]) or methyl 1-methylazulene-2-carboxylate (**1b**) (cf. [7]), can be transformed by MnO_2 in CH_2Cl_2 into 1-methylazulene-2-carbaldehyde (**3**; Scheme 1).

Results and Discussions. – We were quite astonished to find that the MnO_2 reaction, when performed with the 4,6,8-trisubstituted 2-(hydroxymethyl)-1-methylazulenes **6**, did not stop at the azulene-2-carbaldehyde stage, but proceeded further to give the corresponding azulene-1,2-dicarbaldehydes **7** (Scheme 2). The best yields of **7** were obtained, when MnO_2 was applied in a 20-fold excess by weight with respect to **6**. Reduction of the amount of MnO_2 decreased the yields of **7**. On the other hand, no intermediate products could be observed by TLC or $^1\text{H-NMR}$ spectroscopy. However, we observed that **1b** was transformed in a yield of 20% into methyl 1-formylazulene-2-carboxylate upon usual treatment with MnO_2 in CH_2Cl_2 . This finding could be interpreted in a way that an

Scheme 2

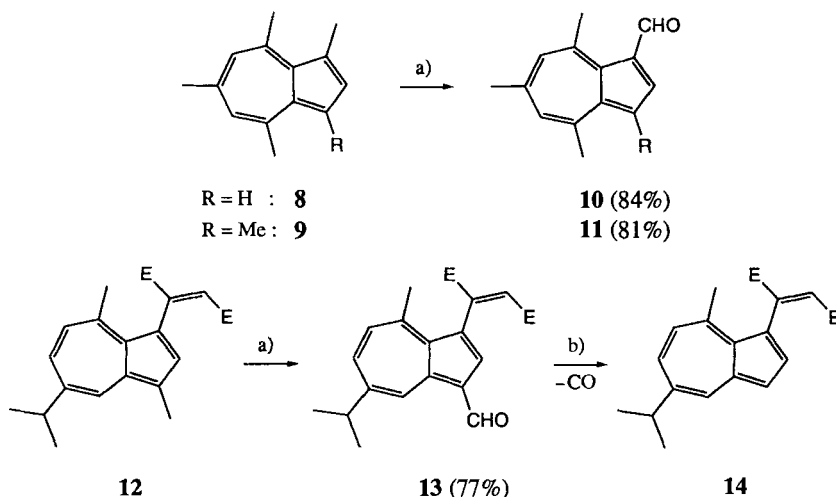


a) See *b*) in Scheme 1. b) MnO_2 (20-fold)/ CH_2Cl_2 , room temperature, 30 min.

^{a)} a $\text{R}^1 = \text{E}$, $\text{R}^2 = \text{Me}$; b $\text{R}^1 = \text{E}$, $\text{R}^2 = t\text{-Bu}$.

^{b)} c $\text{R}^1 = \text{Me}$, $\text{R}^2 = \text{Ph}$.

Scheme 3



a) See *b*) in Scheme 2. b) Distillation at $200^\circ/0.02$ mm Hg in a 'Kugelrohr'.

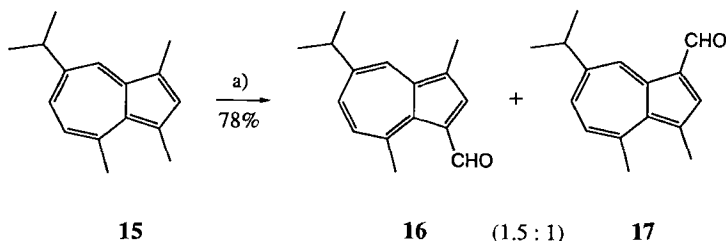
activation of a Me group at C(1) by σ - and π -acceptor substituents (e.g. CHO or MeOCO) at C(2) is necessary to allow the oxidation of this group by MnO_2 . Therefore, we subjected some 1-methyl-substituted azulenes, having no such substituents at C(2), to the oxidation reaction with MnO_2 in CH_2Cl_2 . To our surprise, we found that this type of azulenes (cf. Scheme 3) was easily oxidized by MnO_2 to give the corresponding azulene-1-carbaldehydes in remarkably good yields³⁾.

The oxidation reaction of the pentamethylazulene **9** stopped completely after the formation of one CHO group. This observation is in agreement with the fact that strong σ - and π -acceptor substituents at C(1) lower the HOMO energy of the azulenes and, therefore, will change their reactivity pattern (cf. [9]). On the other hand, the oxidation of Me–C(3) of the 1-(azulen-1-yl)fumarate **12** shows that moderate σ - and π -acceptor⁴⁾ substituents do not suppress the reaction with MnO_2 ⁵⁾.

The oxidation reaction of Me-substituted azulenes with MnO_2 in CH_2Cl_2 , so far described, shows that only Me groups at C(1) and/or C(3) are oxidized by MnO_2 , i.e., in positions exhibiting the largest orbital coefficients in the HOMO. Indeed, the attempt to oxidize 2,4,6,8-tetramethylazulene with MnO_2 under the applied conditions was not successful. The starting azulene was recovered unchanged.

AM1 Calculations indicate that 1,3,4,6,8-pentamethylazulene (**9**) and 1,3,4,7-tetramethylazulene possess similar HOMO energies (cf. [12] [13]). However, the tetramethyl derivative exhibits slightly different orbital coefficients at C(1) and C(3) in its HOMO with the larger value at C(3). Therefore, one would expect a certain chemoselectivity in the oxidation reaction of this azulene with MnO_2 . As a substitute for the not easily available 1,3,4,7-tetramethylazulene, we chose 3-methylguaiazulene (**15**), which is easily

Scheme 4



a) See b)
in Scheme 2.

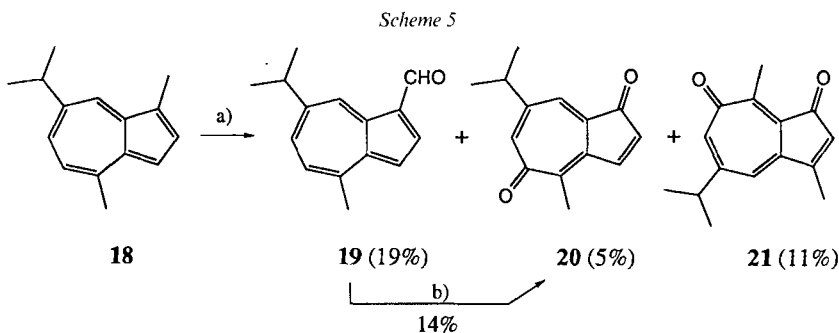
³⁾ It seems that, so far, only diarylmethanes have been oxidized at high temperatures with MnO_2 to the corresponding ketones (cf. Chapt. 3 in [2]). The oxidation of Me groups to CHO substituents by MnO_2 has been reported to occur in methylferrocenes (cf. Chapt. 4 in [2]). However, aromatic Me groups seem not be oxidizable by MnO_2 (cf. [8]).

⁴⁾ The X-ray crystal-structure analysis as well as the UV spectra of 1-(azulen-1-yl)fumarates of type **12**, i.e., with a Me substituent at C(8), clearly indicate a largely orthogonal arrangement of the two involved π systems [10]. This means that the fumarate moiety in azulenes such as **12** cannot exert a strong π -acceptor effect.

⁵⁾ On distillation *in vacuo*, we observed the decarbonylation of **13** (cf. [11]). This reaction shows that 3-methylazulenes can, in principle, be demethylated by selective oxidation and decarbonylation. With respect to the formation of **14**, it should be noted that **14** carries the fumarate moiety on its sterically more hindered site. The acid-catalyzed reaction of azulenes, substituted unsymmetrically at the seven-membered ring, with dimethyl acetylenedicarboxylate (ADM) leads, in general, to the introduction of the fumarate and maleate moiety on the sterically less uncumbered site at the five-membered ring [10].

accessible from guaiazulene (see *e.g.* [13]). Indeed, when **15** was reacted with MnO_2 in CH_2Cl_2 , we observed a slight preponderance in the oxidation of the Me group at C(3) (*cf.* *Scheme 4*). Both carbaldehydes formed, namely **16** and **17**, could easily be separated by chromatography on silica gel. Carbaldehyde **16** represents the *Vilsmeier* formylation product of guaiazulene (see *e.g.* [13]). Carbaldehyde **17**, however, is new and difficult to obtain by other means.

In a control experiment, guaiazulene (**18**), which had been the matter of extensive autoxidation studies with O_2 in DMF [14] as well as with H_2O_2 in pyridine [15], was also subjected to the oxidation with MnO_2 . Several experiments in CH_2Cl_2 with 10- to 40-fold amounts of MnO_2 showed that mainly three products were formed beside non-volatile materials, namely the expected carbaldehyde **19** and the two azulene-diones **20** and **21** (*cf.* *Scheme 5*). The best results were obtained with the 20-fold amount of MnO_2 , which led to an average formation of 4% of carbaldehyde **19**, 13% of the azulene-1,7-dione **21**, and 3% of the demethylated form **20**⁶.

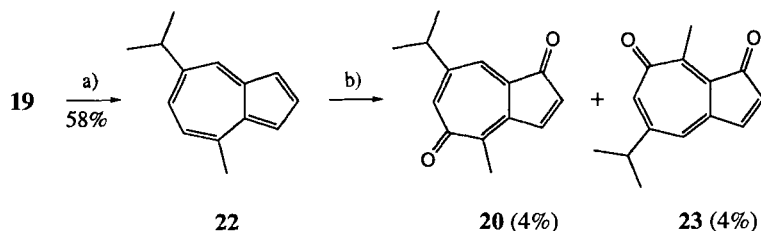


a) MnO_2 (40-fold)/dioxane + 2.5% H_2O , room temperature, 18 h; 5% of **18** were still present after 18 h (GC evidence). The same reaction, however, without the addition of H_2O gave 19% of **19**, 4% of **20**, and 4% of **21**.
 b) MnO_2 (40-fold)/dioxane, room temperature, 19 h; yield determined with GC and docosane as standard.

More reproducible results were obtained in dioxane as solvent, especially when small amounts of H_2O were present (*Scheme 5*). The carbaldehyde **19** was in these cases again the main product (*cf.* also *Exper. Part, Table 2*). The precursor of the demethylated azulene-1,5-dione **20** seems to be **19**, since it gave **20** under the applied reaction conditions (*cf.* *Scheme 5*). We suppose that **19** undergoes first a type of *Dakin* rearrangement under the reaction conditions, before it is further oxidized to **20**. Another possibility would be that **19** will undergo first decarbonylation or oxidative decarboxylation to form 7-isopropyl-4-methylazulene (**22**) as intermediate which is then further oxidized to give **20**. However, the control experiment with **22** – obtained by decarbonylation of **19** with *Wilkinson's* catalyst – showed that this azulene gave, as expected, **20** in a 1:1 mixture with its regioisomer **23** (*Scheme 6*). Since the azulene-1,7-dione **23** was not present in the original reaction mixture starting from **18** (*Scheme 5*), **22** cannot be the precursor of **20** in this case. The isolated carbaldehyde **19** was identical with dihydrolactaroviolin (*cf.* [9]

⁶) The yields refer to GC analyses with docosane as standard. They were strongly dependent on the quality of the used MnO_2 . In one experiment, we obtained an isolated yield of **19** and **21** of together over 70%. However, this yield could not be reproduced (see also *Exper. Part*, especially *Table 1*).

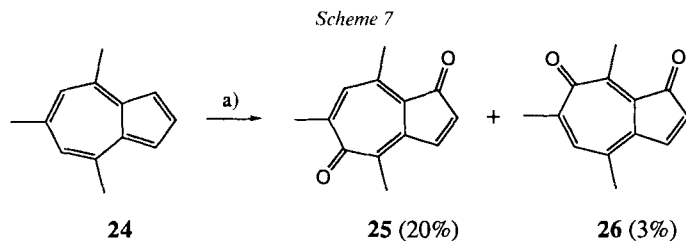
Scheme 6



a) $[\text{RhCl}(\text{PPh}_3)_3]$ /toluene, 110° , 12 h. b) MnO_2 (30-fold)/ CH_2Cl_2 , room temperature, 2.5 h; the yields have not been optimized.

and lit. cit. there). Azulene-1,7-dione **21** has already been found in small amounts among several autoxidation products of guaiazulene (**18**; cf. [14] [15]). The two other azulene-diones **20** and **23**, the parent structures of which have been synthesized by *Scott* and *Adams* [16], were identified by their spectroscopic data, especially by their $^1\text{H-NMR}$ spectra and corresponding $^1\text{H-NOE}$ measurements (see *Exper. Part*).

It seems that for the first time azulene-diones have been formed in appreciable amounts by direct oxidation of its parent hydrocarbons (cf. the discussion in [17]). Therefore, we examined also the MnO_2 oxidation of 4,6,8-trimethylazulene (**24**), which carries, like **22**, no Me group at the five-membered ring. Indeed, this azulene also led in decent yields to the formation of two azulene-diones, namely **25** and **26**, with a clear preponderance of the corresponding 1,5-dione isomer **25** (Scheme 7).



a) MnO_2 (70-fold amount in three portions)/dioxane + 2.5% H_2O , room temperature, 2 d. Optimization of the isolated yields by GC control.

Both azulene-diones had already been obtained by *Nozoe* and coworkers [14] [15] in small amounts among several other products in autoxidation experiments with **24**. However, according to $^1\text{H-NOE}$ measurements, we have to revise the structural assignments made by *Nozoe* and coworkers, *i.e.*, the reported data for the azulene-1,5-dione belong indeed to the azulene-1,7-dione and *vice versa*. The reasoning is quite clear: $\text{H-C}(3)$ appears in both structures at lowest field ($^1\text{H-NMR}$ (CDCl_3): 8.07 (**25**) and 7.96 ppm (**26**), as *d* with $^3J(2,3) = 6.0 \text{ Hz}$ ⁷⁾). This H-atom shows a reciprocal $^1\text{H-NOE}$ effect

⁷⁾ *Nozoe* and coworkers [14] [15] reported 4 Hz.

with the Me group, appearing as a *s* at 2.34 ppm in both azulene-diones. However, in the case of **25**, this Me group shows no further ¹H-NOE effect. In contrast to this finding, the Me group of the other isomer shows a ¹H-NOE effect with the H-atom which appears as a *s* at 7.07 ppm. Therefore, the second isomer, being the minor product in our experiments, must have the structure of the 1,7-dione **26** (for further details, see *Exper. Part*).

It is of interest to note that all three azulenes, **18**, **22**, and **24**, are oxidized by MnO₂ at those C-atoms which have the largest orbital coefficients in the HOMO. When both C-atoms at the seven-membered ring, which exhibit the second largest orbital coefficients at the HOMO, *i.e.*, C(5) and C(7), are occupied by Me groups, no oxidation reaction with MnO₂ in CH₂Cl₂ is observed. For example, 5,7-dimethylazulene was recovered unchanged after treatment with MnO₂ in CH₂Cl₂ at room temperature. This work will be continued.

We thank cand. chem. Z. A. Molnar and Daniela Ziegler for skilful help in laboratory work, Prof. M. Hesse and his coworkers for mass spectra, Prof. W. von Philipsborn and his coworkers for NMR support and ¹H-NOE measurements, and H. Frohofer and J. Kessler for elemental analyses. The financial support of this work by the Swiss National Science Foundation is gratefully acknowledged.

Experimental Part

General. See [5] [6].

Procedure for the Reduction of Azulene-2-carboxylates and Azulene-1,2-dicarboxylates with DIBAH (see also [6]). The esters were dissolved in Et₂O (30 ml/mmol of ester) and cooled to 0°. At this temp., DIBAH in hexane (*ca.* 0.7M soln.; 10 mol-equiv. excess) was slowly added. The mixture was stirred for an additional h at 0°. Then, AcOEt and H₂O were added dropwise. The deposited inorg. salts were dissolved in 2N NaOH. The org. phase was separated and the aq. phase extracted twice with Et₂O. The Et₂O phases were dried (MgSO₄), and their residue was purified by CC on silica gel (hexane/Et₂O).

Procedure for the Oxidation Reaction with MnO₂ in CH₂Cl₂. The corresponding azulene was dissolved in CH₂Cl₂ (10 ml/0.4 mmol of azulene) and the indicated amount of MnO₂⁸) was added in one portion if not otherwise stated. This mixture was stirred at r.t. for 30 min if not otherwise stated. The mixture was then filtered over a short silica-gel column with CH₂Cl₂ as eluant. CH₂Cl₂ was distilled off and the residue further purified by CC (silica gel; hexane/Et₂O mixtures), bulb-to-bulb distillation, and/or recrystallization.

1. Formation of Azulene-carbaldehydes. – 1.1. *1-Methylazulene-2-carbaldehyde (3)*. 1.1.1. *2-(Hydroxymethyl)-1-methylazulene (2)*: 0.8-mmol runs gave **2** in yields of 75% (from **1a** [18]) and 92% (from **1b** [7]). Blue crystals from hexane/Et₂O. M.p. 68°. R_f 0.19. IR (KBr): 3684s, 3602s, 3021m, 2923m, 1601s, 1575s, 1381m, 988m, 788m, 556m, 527m. ¹H-NMR: 8.23 (*d*, *J*(7,8) = 9.8, H–C(8)); 8.21 (*d*, *J*(5,4) = 9.4, H–C(4)); 7.52 (*t*-like, *J*(5,6) ≈ *J*(7,6) = 9.9, H–C(6)); 7.37 (*s*, H–C(3)); 7.10 (*t*-like, *J*(8,7) ≈ *J*(6,7) = 9.5, H–C(7)); 7.07 (*t*-like, *J*(4,5) ≈ *J*(6,5) = 9.4, H–C(5)); 5.07 (*s*, CH₂OH); 2.49 (*s*, Me–C(1)).

1.1.2. *Dehydrogenation of 2*: 0.58 mmol of **2** were reacted with 2.0 g of MnO₂ to give 65% of **3**. Blue crystals from hexane/Et₂O. M.p. 119–120°. R_f 0.17. ¹H-NMR: 10.59 (*s*, CHO); 8.40 (*d*, *J*(7,8) = 9.7, H–C(8)); 8.33 (*d*, *J*(5,4) = 9.4, H–C(4)); 7.68 (*s*, H–C(3)); 7.60 (*t*-like, *J*(7,6) ≈ *J*(5,6) = 9.8, H–C(6)); 7.09 (*t*-like, *J*(8,7) ≈ *J*(6,7) = 9.8, H–C(7)); 7.04 (*t*-like, *J*(4,5) ≈ *J*(6,5) = 9.7, H–C(5)); 2.88 (*s*, Me–C(1)).

1.2. *4,6,8-Trimethylazulene-1,2-dicarbaldehyde (7a)*. 1.2.1. *2-(Hydroxymethyl)-1,4,6,8-tetramethylazulene (6a)*. See [6]. 1.2.2. *Oxidation of 6a*: 0.93 mmol of **6a** were reacted with 4.0 g of MnO₂ to give 47% of **7a**. Red needles from hexane/Et₂O. M.p. 142–143°. R_f 0.10. UV (hexane): λ_{max} 387 (sh, 3.53), 3.66 (3.79), 3.21 (4.35), 3.04 (4.31), 2.92 (sh, 4.19), 2.51 (3.95), 2.18 (4.09); λ_{min} 360 (3.77), 3.08 (4.30), 2.72 (3.92), 2.30 (3.98). ¹H-NMR: 10.91 (*s*, C(1)–CHO); 10.76 (*s*, C(2)–CHO); 7.81 (*s*, H–C(3)); 7.48 (*s*, H–C(7)); 7.43 (*s*, H–C(5)); 3.15 (*s*, Me–C(8)); 2.96 (*s*, Me–C(4)); 2.72 (*s*, Me–C(6)). CI-MS (NH₃): 227 (100, [M + 1]⁺).

1.3. *6-(tert-Butyl)-4,8-dimethylazulene-1,2-dicarbaldehyde (7b)*. 1.3.1. *6-(tert-Butyl)-2-(hydroxymethyl)-1,4,8-trimethylazulene (6b)*. See [6]. 1.3.2. *Oxidation of 6b*: 0.27 mmol of **6b** were reacted with 1.5 g of MnO₂ to give

⁸) If not otherwise stated, the oxidation reactions were performed with *ca.* 10-year-old batch of MnO₂ ('gefällt, aktiv') from Merck-Schuchardt.

41% of **7b**. Red crystals from hexane/Et₂O. M.p. 126–127°. *R*_f 0.17. UV (hexane): λ_{max} 386 (sh, 3.61), 365 (3.90), 322 (4.50), 258 (4.22), 220 (4.10); λ_{min} 358 (3.89), 276 (3.95), 232 (3.99), 211 (4.02). IR (CH₂Cl₂): 2971*m*, 1752*w*, 1633*s*, 1579*m*, 1523*m*, 1471*m*, 1421*m*, 1329*m*, 1244*m*, 1223*m*, 1098*w*, 896*m*, 866*m*. ¹H-NMR: 10.90 (s, C(1)–CHO); 10.77 (s, C(2)–CHO); 7.79 (s, H–C(3)); 7.72 (s, H–C(7)); 7.67 (s, H–C(5)); 3.19 (s, Me–C(8)); 3.00 (s, Me–C(4)); 1.50 (s, *t*-Bu).

1.4. *4,8-Dimethyl-6-phenylazulene-1,2-dicarbaldehyde (7c)*. 1.4.1. *2-(Hydroxymethyl)-1,4,8-trimethyl-6-phenylazulene (6c)*. It was obtained in a yield of 95% from methyl 1,4,8-trimethyl-6-phenylazulene-2-carboxylate (0.200 g, 0.66 mmol) [7]. Blue crystals from hexane/Et₂O. M.p. 101–102°. *R*_f 0.30. ¹H-NMR: 7.60–7.30 (*m*, 5 arom. H); 7.14 (s, H–C(5)); 7.11 (s, H–C(7)); 5.13 (s, CH₂OH); 3.15 (s, Me–C(8)); 3.06 (s, Me–C(4)); 2.54 (s, Me–C(1)).

1.4.2. *Oxidation of 6c*: 0.36 mmol of **6c** were reacted with 2.0 g of MnO₂ to give 43% of **7c**. Red crystals from hexane/Et₂O. M.p. 151–152°. *R*_f 0.22. UV (hexane): λ_{max} 378 (sh, 3.45), 330 (3.89), 317 (3.90), 253 (3.75), 240 (3.76); λ_{min} 323 (3.88), 280 (3.59), 247 (3.75), 224 (3.70). IR (CH₂Cl₂): 2926*m*, 1672*s*, 1636*s*, 1579*s*, 1518*w*, 1422*w*, 1350*s*, 1334*s*, 896*m*, 860*m*. ¹H-NMR: 10.96 (s, C(1)–CHO); 10.79 (s, C(2)–CHO); 7.88 (s, H–C(3)); 7.75 (s, H–C(7)); 7.70 (s, H–C(5)); 7.67–7.64 (*m*, 2 arom. H); 7.55–7.51 (*m*, 3 arom. H); 3.24 (s, Me–C(8)); 3.05 (s, Me–C(4)).

1.5. *4,6,8-Trimethylazulene-1-carbaldehyde (10)*. *1,4,6,8-Tetramethylazulene (8)*; 0.10 g, 0.54 mmol [9] was oxidized with MnO₂ (2.0 g) to give pure crystalline **10** (0.095 g, 84%), identical with an authentic sample [19].

1.6. *3,4,6,8-Tetramethylazulene-1-carbaldehyde (11)*. *1,3,4,6,8-Pentamethylazulene (9)*; 0.15 g, 0.75 mmol [12] was oxidized with MnO₂ (4.5 g) to give pure crystalline **11** (0.130 g, 81%), identical with an authentic sample [12].

1.7. *Dimethyl (E)-1-(3-Formyl-5-isopropyl-8-methylazulene-1-yl)ethene-1,2-dicarboxylate (13)*. *Dimethyl (E)-1-(5-isopropyl-3,8-dimethylazulene-1-yl)ethene-1,2-dicarboxylate (12)*; 0.20 g, 0.59 mmol [20] was oxidized with MnO₂ (4.0 g) to give pure crystalline **13** (0.160 g, 77%). Red crystals from hexane/Et₂O. M.p. 83°. *R*_f 0.16. UV (hexane): λ_{max} 394 (3.95), 310 (4.43), 242 (4.48), 231 (sh, 4.46), 214 (sh, 4.41); λ_{min} 351 (3.71), 270 (4.04), 207 (4.38). IR (KBr): 2952*m*, 1719*s*, 1641*s*, 1439*s*, 1392*m*, 1356*m*, 1295*m*, 1249*s*, 1203*m*, 1178*m*, 1146*m*, 1069*w*, 1020*w*, 915*w*. ¹H-NMR: 10.26 (s, CHO); 9.81 (*d*, *J*(6',4') = 1.9, H–C(4')); 7.90 (s, H–C(2')); 7.68 (*dd*, *J*(7',6') = 10.8, *J*(4',6') = 1.9, H–C(6')); 7.44 (*d*, *J*(6',7') = 10.9, H–C(7')); 7.18 (s, H–C(2)); 3.78, 3.56 (2*s*, COOMe); 3.22 (*sept.*, *J* = 6.9, Me₂CH); 2.80 (s, Me–C(8)); 1.41 (*d*, *J* = 6.9, Me₂CH). Anal. calc. for C₂₁H₂₂O₅ (354.41): C 71.17, H 6.26; found: C 71.39, H 6.54.

1.7.1. *Dimethyl (E)-1-(5-Isopropyl-8-methylazulene-1-yl)ethene-1,2-dicarboxylate (14)*. Distillation of **13** in a 'Kugelrohr' at 200°/2·10⁻⁴ Torr gave **14** in a yield of 90%. Green crystals from hexane/Et₂O. M.p. 77°. *R*_f 0.42. UV (hexane): λ_{max} 400 (3.57), 359 (sh, 3.58), 343 (3.80), 286 (4.58), 244 (4.46), 219 (4.32); λ_{min} 366 (3.52), 337 (3.78), 260 (4.17), 225 (4.31), 212 (4.30). IR (CH₂Cl₂): 2963*s*, 2871*m*, 1719*s*, 1606*m*, 1435*m*, 1371*m*, 1243*s*, 1204*m*, 1171*m*, 1113*m*, 1020*m*, 896*m*. ¹H-NMR: 8.25 (*d*, *J*(6',4') = 2.0, H–C(4')); 7.54 (*d*, *J*(2',3') = 3.9, H–C(3')); 7.39 (*dd*, *J*(7',6') = 10.8, *J*(4',6') = 1.9, H–C(6')); 7.26 (*d*, *J*(3',2') = 3.9, H–C(2')); 7.11 (s, H–C(2)); 7.05 (*d*, *J*(6',7') = 10.9, H–C(7')); 3.75, 3.53 (2*s*, COOMe); 3.05 (*sept.*, *J* = 6.9, Me₂CH); 2.73 (s, Me–C(8)); 1.35 (*d*, *J* = 6.9, Me₂CH). EI-MS: 327 (15, [M + 1]⁺), 326 (78, M⁺), 267 (28), 207 (18), 193 (20), 192 (17), 191 (25), 178 (28), 165 (74), 152 (26), 59 (100).

1.8. *5-Isopropyl-3,8-dimethyl- and 7-Isopropyl-3,4-dimethylazulene-1-carbaldehyde (16 and 17, resp.)*. *3-Methylguaiazulene (15)*; 0.060 g, 0.28 mmol [13] was oxidized with MnO₂ (2.4 g). Careful CC on silica gel (hexane/Et₂O 4:1) gave in a first fraction **16** (0.030 g, 47%), followed by **17** (0.020 g, 31%). No other products were observed.

Data of 16: *R*_f 0.38. Identical with those of an authentic probe [13].

Data of 17: Violet oil. *R*_f 0.20. UV (hexane): λ_{max} 404 (4.07), 387 (4.04), 319 (sh, 4.38), 312 (4.55), 306 (4.52), 300 (sh, 4.48), 247 (4.44), 227 (4.41), 215 (4.39); λ_{min} 395 (4.00), 344 (3.67), 309 (4.51), 269 (4.03), 233 (4.38), 221 (4.37). IR (CH₂Cl₂): 2966*m*, 1703*m*, 1640*s*, 1537*w*, 1513*w*, 1445*s*, 1428*s*, 1394*s*. ¹H-NMR: 10.26 (s, C(1)–CHO); 9.62 (*d*, *J*(6,8) = 1.8, H–C(8)); 7.93 (s, H–C(2)); 7.55 (*dd*, *J*(5,6) = 10.7, *J*(8,6) = 1.8, H–C(6)); 7.29 (*d*, *J*(6,5) = 10.8, H–C(5)); 3.15 (*sept.*, *J* = 6.9, (CH₃)₂CH–C(7)); 3.08 (s, Me–C(4)); 2.84 (s, Me–C(3)); 1.39 (*d*, *J* = 6.9, (CH₃)₂CH–C(7)).

1.9. *Oxidation of Guaiazulene (1)*. Since this azulene was readily available (*Fluka, puriss.*), and, beside the expected carbaldehyde **19** (dihydroactaroviolin; *cf.* [9]), the formation of *7-isopropyl-4-methylazulene-1,5-dione (20)* as well as of *5-isopropyl-3,8-dimethylazulene-1,7-dione (21, cf. [14] [15])* was observed, extensive screening studies were performed with respect to the influence of the provenance of MnO₂ and the solvent (*cf. Tables 1 and 2*). In first experiments with the old batch of MnO₂⁸) and CH₂Cl₂ as solvent, we obtained **19** and **21** in yields up to 70%. Compound **20** was not isolated in these cases. Later experiments with a new lot of MnO₂ (*Merck-Schuchardt*; Lot 45114980) gave much lower yields of **19–21**. The results of anal. screening experiments with docosane as GC standard are collected in *Table 1*. As can be seen, the oxidation reactions with MnO₂ in CH₂Cl₂ are only badly

Table 1. Oxidation of Guaiazulene (**18**) with MnO_2 in CH_2Cl_2 at r.t.^{a)}

Amount [g] of MnO_2 ^{b)}	Time [min]	Yields [%]			
		18	19	20	21
A 1.0	5	73	0	0	0
	20	63	1.3	0	2.9
	90	56	1.8	0	3.3
A 2.0	5	3	3.5	2.9	12.6
	30	0	4.4	3.3	13.6
A 2.5	5	31	2.0	0	6.8
	20	15	3.0	1.2	7.5
	30	14	3.2	1.2	8.0
A 4.0	5	7	3.0	1.5	9.6
	20	1	3.5	1.7	10.0
	30	0.5	4.0	1.9	10.0
B 1.0	5	72	0	0	2.3
	30	70	0	0	2.3
	90	48	1.7	0	3.2
B 2.5	5	36	1.4	0	5.4
	20	25	2.5	0	6.5
	30	21	2.8	0	5.6
B 4.0	5	15	2.6	0	8.2
	20	4	3.6	1.5	9.0
	30	2	3.8	1.5	9.3

a) Ca. 0.10 g of **18** were oxidized with MnO_2 , added in one portion, in 11 ml of CH_2Cl_2 in the presence of 0.030–0.035 g docosane as GC standard. Analysis on a GC/MS instrument (Hewlett-Packard, model 5890; with mass selective detector, model 5971); column: WCOT, HP-5 (25 m/0.2 mm). t_R (**20**) < t_R (**19**) < t_R (**21**).

b) A: 10-year-old batch of MnO_2 (Merck-Schuchardt); B: new batch of MnO_2 (Merck-Schuchardt).

Table 2. Oxidation of Guaiazulene (**18**) with MnO_2 in Various Solvents at r.t.^{a)}

Solvent	Time	Yields [%]			
		18	19	20	21
MeCN ^{b)}	5 min	ca. 16	4	1	6
	30 min	ca. 5	4	0	5
DMSO ^{b)}	5 min	ca. 66	< 1	0	0
	30 min	ca. 50	< 1	0	0
Benzene	5 min	12	4	3	7
	30 min	ca. 1	6	3	7
Pyridine	5 min	93	0	0	0
	30 min	84	1	0	0
	89 h	40	4.4	0	0
Acetone	5 min	42	5	1	5
	34 min	24	10	4	7
	1 h	20	11	4	8
Dioxane ^{c)}	5 min	45 (39)	8 (9)	2 (2)	4 (5)
	30 min	29 (23)	12 (12)	3 (3)	4 (6)
	16.5 h	1 (1)	19 (18)	4 (4)	4 (3)

Table 2 (cont.)

Solvent	Time	Yields [%]			
		18	19	20	21
Dioxane	18 h	< 1	17	5	5
+ 1% H ₂ O ^d	18 h	0.6	18	7	14
+ 2.5% H ₂ O ^e	18 h	0.7 (5)	18 (19)	7 (5)	14 (11)
+ 10% H ₂ O	18 h	< 1	13	4	13
+ 50% H ₂ O	18 h	< 1	5	< 1	8

^a) Ca. 0.15 g of **18** were oxidized with a new batch MnO₂ (6.0 g; *Merck-Schuchardt*, Lot. 45114980), added in one portion, in 15 ml of the solvent in the presence of docosane (ca. 0.05 g) as GC standard. See a) in Table 1.

^b) No internal standard was added.

^c) In parentheses the values obtained with a different batch of MnO₂ (*Merck-Schuchardt*).

^d) Results of oxidation experiments with 20 mg of **18** in 2.0 g of dried dioxane to which the indicated percentage of H₂O was added. Standard: docosane; MnO₂: 0.80 g. The application of ultrasound did not improve the yields.

^e) In parentheses the results of a prep. run with 1.50 g of **18** (see 1.9.1).

reproducible. The best results were obtained with the > 10 year old batch of MnO₂, leading to ca. 13% of **21**, 4% of **19**, and 3% of **20**. Much better reproducible results were obtained with MnO₂ (Lot 45114980) in dioxane and varying small amounts of H₂O (cf. Table 2).

1.9.1. *Oxidation of 18 in Dioxane/H₂O*. The azulene (1.50 g, 7.56 mmol) and docosane (0.50 g as internal standard) were dissolved in dioxane (150 ml), and H₂O (3.75 ml, 2.5%) and MnO₂ (60 g; see Table 2) were added. The mixture was stirred under N₂ during 18 h at r.t. GC showed the presence of still 5% of **18**. MnO₂ was removed by centrifugation (4000 rpm) and the soln. filtered through *Celite*. The MnO₂ was washed 4-times with dioxane (70 ml) and the extracts also filtrated through the *Celite*. Further extraction overnight in a *Soxhlet* apparatus with CH₂Cl₂ showed that all products had been removed from MnO₂. The residue of the dioxane extracts (1.6 g) was subjected to CC (85 g silica gel; CH₂Cl₂) to give the mixture of **19–21** as a red-brown oil. The separation of **19–21** was realized by low-pressure chromatography on a *Lobar B* column (hexane/AcOEt 5:1). The first fractions gave pure **19** (GC: > 99%; 0.301 g, 19%), followed by **21** (GC: 97%; 0.198 g, 11%) and finally by **20** (GC: > 98%, 0.088 g, 5%).

Data of 19: Dark red amorphous powder. M.p. 65–68°. *R_f* 0.45 (Alox; hexane/Et₂O 3:2). *t_R* 14.34 min⁹. All other data were identical with those of an authentic sample [9]. Anal. calc. for C₁₅H₁₆O (212.29): C 84.87, H 7.60; found: C 84.61, H 7.61.

Data of 20: Yellow-ochre microcrystalline powder after sublimation at 80–110°/2·10⁻⁴ Torr in a 'Kugelrohr'. M.p. 111–113°. *R_f* 0.20 (Alox; hexane/Et₂O 3:2). UV (hexane): λ_{max} 400 (sh, 3.54), 380 (3.76), 361 (3.76), 343 (3.71), 310 (3.70), 270 (sh, 4.20), 256 (4.42), 250 (sh, 4.35), 240 (sh, 4.20); λ_{min} 370 (3.70), 350 (3.69), 334 (3.68), 284 (3.61), 225 (4.09). IR (CHCl₃): 3040w, 3008m, 2968m, 2930m, 1711s, 1647s, 1581s, 1569s, 1465m, 1417m, 1388m, 1378m, 976w, 926w, 900w, 833s. ¹H-NMR: 8.21 (*dd*, *J*(2,3) = 6.0, *J*(8,3) = 0.7, H-C(3)); 7.28 (*d*, *J*(8,6) = 1.9, H-C(6)); 6.90 (*dd*, *J*(6,8) = 1.9, *J*(3,8) = 0.7, H-C(8)); 6.53 (*d*, *J*(3,2) = 6.0, H-C(2)); 2.78 (*sept.*, *J* = 6.8, Me₂CH); 2.31 (*s*, Me-C(4)); 1.24 (*d*, *J* = 6.8, Me₂CH). ¹H-NOE (400 MHz, CDCl₃): 8.21 (H-C(3)) → 6.53 (*s*, H-C(2)), 2.31 (*m*, Me-C(4)); 6.90 (H-C(8)) → 2.78 (*s*, Me₂CH), 1.24 (*m*, Me₂CH); 2.31 (Me-C(4)) → 8.21 (*s*, H-C(3)). GC/MS (*t_R* 12.85 min⁹): 214 (36, *M*⁺), 186 (21, [*M* - CO]⁺), 171 (100), 143 (12), 141 (9), 128 (33), 115 (18). Anal. calc. for C₁₄H₁₄O₂ (214.27): C 78.48, H 6.59; found: C 78.76, H 6.72.

Data of 21 (cf. [14] [15]): Yellow-ochre crystals from hexane/Et₂O. M.p. 104–105° (94° [15]). *R_f* 0.15 (Alox; hexane/Et₂O 3:2). UV (hexane): λ_{max} 414 (sh, 3.28), 390 (sh, 3.59), 371 (3.65), 302 (3.92), 244 (4.36), 231 (4.37); λ_{min} 360 (3.63), 277 (3.85), 237 (3.65), 212 (4.12). IR (CH₂Cl₂): 2968m, 2928m, 1700s, 1638m, 1602s, 1582s, 1465w, 1381w, 1322w, 886w. ¹H-NMR: 6.75 (*d*, *J*(8,6) = 1.8, H-C(6)); 6.63 (*d*, *J*(6,8) = 1.8, H-C(8)); 6.23 (*q*-like, *J*(Me-C(3),2) = 1.3, H-C(2)); 2.75 (*sept.*, *J* = 6.8, Me₂CH); 2.63 (*s*, Me-C(8)); 2.29 (*d*, *J*(2, Me-C(3)) = 1.3, Me-C(3)); 1.25 (*d*, *J* = 6.8, Me₂CH). GC/MS (*t_R* 15.05⁹): 228 (41, *M*⁺), 200 (21, [*M* - CO]⁺), 185 (100), 157 (12), 142 (28), 141 (20), 128 (18), 115 (17). Anal. calc. for C₁₅H₁₆O₂ (228.29): C 78.92, H 7.06; found: C 78.92, H 7.07.

⁹) *t_R* refers to *HP-5* column (cf. Footnote a in Table 1) and a temp. program of 20°/min from 100 (2 min) to 240° (10 min), carrier gas: He.

1.9.2. *Oxidation of 19 in Dioxane*: The carbaldehyde (5 mg) and docosane (8 mg) were dissolved in dioxane (2 ml), MnO_2 (0.81 g) was added, and the mixture stirred under N_2 for 19 h at r.t. MnO_2 was removed by centrifugation, and the dioxane soln., the color of which had changed from red to yellow, subjected to GC analysis. Only the peak of **20** was found. The peak area corresponded to a yield of 14% of **20**.

2. **Formation of Azulene-diones**. 2.1. *Oxidation of 7-Isopropyl-4-methylazulene (22)*. 2.1.1. *Decarbonylation of 19*. The carbaldehyde (0.0457 g, 0.215 mmol) and $[\text{RhCl}(\text{PPh}_3)_3]$ (0.250 g, 0.245 mmol) were dissolved in dried toluene (3 ml), and the mixture was heated under Ar for 12 h at reflux. TLC showed only traces of **19**. Hexane (10 ml) was added and the precipitate filtered after 1 h. The filtrate was distilled in a rotatory evaporator. The residue (0.0232 g, 58%) represented pure **22**. R_f 0.60 (Alox; hexane/ Et_2O 3:2). $^1\text{H-NMR}$: 8.33 (*d*, $J(6,8) = 2.0$, H-C(8)); 7.82 (*t*, $J(1,2) = J(3,2) = 3.8$, H-C(2)); 7.48 (*dd*, $J(5,6) = 10.6$, $J(8,6) = 2.0$, H-C(6)); 7.33, 7.30 (*2dd*, $J(1,2) = J(3,2) = 3.8$, $J(1,3) = 1.5$, H-C(1), H-C(3)); 7.13 (*d*, $J(6,5) = 10.6$, H-C(5)); 3.09 (*sept.*, $J = 6.8$, Me_2CH); 2.89 (Me-C(4)); 1.37 (*d*, $J = 6.8$, Me_2CH). GC-MS (t_R 10.19 min⁹): 184 (59, M^+), 169 (100, $[M - \text{Me}]^+$), 154 (31, $[M - 2 \text{Me}]^+$), 153 (28), 152 (17), 141 (12), 128 (13), 115 (13).

2.1.2. *Reaction of 22 with MnO_2* . Azulene **22** (0.023 g, 0.12 mmol) and docosane (0.0085 g) as standard were dissolved in CH_2Cl_2 (3 ml), MnO_2 (0.69 g) was added and the whole mixture stirred under Ar at r.t. GC Analysis showed that all **22** was consumed after 2.5 h. The mixture was filtered over *Celite* and the filter cake washed several times with CH_2Cl_2 . The residue of the CH_2Cl_2 soln. was chromatographed on a short silica-gel column (hexane/ Et_2O 3:2) and then subjected to low-pressure HPLC on a *Lobar B* column (hexane/ AcOEt 5:1) to give ca. 1 mg (4%) of **20** and also ca. 1 mg (4%) of 5-isopropyl-8-methylazulene-1,7-dione (**23**).

Data of 23: R_f 0.20 (Alox; hexane/ Et_2O 3:2). IR (CHCl_3): 3018w, 3008w, 2968m, 2931w, 2875w, 1705s, 1638m, 1584s, 1465w, 1370w, 1341w, 1306w, 1148w, 1013w, 887w, 834m. $^1\text{H-NMR}$: 7.67 (*d*, $J(2,3) = 5.8$, H-C(3)); 6.73 (*d*, $J(4,6) = 1.8$, H-C(6))¹⁰; 6.61 (*d*, $J(6,4) = 1.8$, H-C(4))¹⁰; 6.37 (*d*, $J(3,2) = 5.8$, H-C(2)); 2.72 (*sept.*, $J = 6.8$, Me_2CH); 2.63 (*s*, Me-C(8)); 1.24 (*d*, $J(6,8, \text{Me}_2\text{CH}-\text{C}(5))$). GC-MS (t_R 12.99 min⁹): 214 (62, M^+), 199 (4, $[M - \text{Me}]^+$), 186 (16, $[M - \text{CO}]^+$), 171 (100), 143 (19), 141 (10), 128 (39), 115 (22).

2.2. *Oxidation of 4,6,8-Trimethylazulene (24)*. The freshly distilled azulene (0.292 g, 1.72 mmol) [21] and docosane (0.0991 g) as internal standard were dissolved in dioxane (31 ml), and H_2O (0.72 ml) was added. The mixture was stirred under N_2 at r.t. and MnO_2 added within 2 d in three portions (in total 21.1 g). After this time, GC analysis showed the presence of ca. 2% **24**. The workup procedure was the same as described for **18** (cf. 1.9.1). The separation of the two azulene-diones **25** and **26** was realized on a *Lobar B* column with hexane/ AcOEt 5:1 to give in a first fraction pure **25** (0.070 g, 20%; GC > 99%), followed by a small amount of **26** (0.011 g, 3%; GC > 99.5%).

Data of 4,6,8-Trimethylazulene-1,5-dione (25; cf. [14] [15]): Yellow-ochre needles from hexane/ AcOEt and then toluene. M.p. 218–220° ([15]: 118°). R_f 0.25. IR (CH_2Cl_2): 2927s, 2855s, 1697s, 1585m, 1461s, 1377s, 1152m, 836m. $^1\text{H-NMR}$: 8.07 (*d*, $J(2,3) = 6.0$, H-C(3)); 7.10 (*d*-like, $J(\text{Me}-\text{C}(6,7) = 1.1$, H-C(7)); 6.40 (*d*, $J(3,2) = 6.0$, H-C(2)); 2.66 (*s*, Me-C(8)); 2.34 (*s*, Me-C(4)); 2.28 (*d*, $J(7, \text{Me}-\text{C}(6)) = 1.0$, Me-C(6)). $^1\text{H-NOE}$ (400 MHz, CDCl_3): 8.07 (H-C(3)) → 6.40 (*s*, H-C(2)), 2.34 (*m*, Me-C(4)); 2.66 (Me-C(8)) → 7.10 (*s*, H-C(7)); 2.34 (Me-C(4)) → 8.07 (*s*, H-C(3)); 2.28 (Me-C(6)) → 7.10 (*s*, H-C(7)). Anal. calc. for $\text{C}_{13}\text{H}_{12}\text{O}_2$ (200.24): C 77.98, H 6.04; found: C 77.69, H 6.02.

Data of 4,6,8-Trimethylazulene-1,7-dione (26; cf. [14] [15]): Yellow-ochre crystals from toluene. M.p. 196–198° ([15]: 120°). R_f 0.23. IR (CH_2Cl_2): 2926s, 2855m, 1698s, 1587s, 1458w, 1375w, 1203w, 1152w, 836m. $^1\text{H-NMR}$: 7.96 (*d*, $J(2,3) = 6.0$, H-C(3)); 7.07 (*d*-like, $J(\text{Me}-\text{C}(6),5) = 1.1$, H-C(5)); 6.28 (*d*, $J(3,2) = 6.0$, H-C(2)); 2.63 (*s*, Me-C(8)); 2.34 (*s*, Me-C(4)); 2.25 (*d*, $J(7, \text{Me}-\text{C}(6)) = 1.0$, Me-C(6)). $^1\text{H-NOE}$ (400 MHz, CDCl_3): 7.96 (H-C(3)) → 6.28 (*s*, H-C(2)), 2.34 (*m*, Me-C(4)); 7.07 (H-C(5)) → 2.34 (*m*, Me-C(4)), 2.25 (*s*, Me-C(6)); 6.28 (H-C(2)) → 7.96 (*s*, H-C(3)); 2.66 (Me-C(8)) → no effect; 2.34 (Me-C(4)) → 7.96 (*s*, H-C(3)), 7.07 (*s*, H-C(5)); 2.25 (Me-C(6)) → 7.07 (*s*, H-C(5)). $^1\text{H-NMR}$: (C_6D_6): 6.98 (*d*, H-C(3)); 6.32 (*q*-like, H-C(5)); 5.81 (*d*, H-C(2)); 2.90 (*s*, Me-C(8)); 2.10 (*s*, Me-C(6)); 1.51 (*s*, Me-C(4)).

On standing over several days in CDCl_3 soln., **26** was partly transformed into a new product with $^1\text{H-NMR}$ signals at: 7.28 (*d*, $J = 6.0$, 1 H); 6.68 (*d*, $J = 6.0$, 1 H); 5.93 (*q*-like, $J > 1$, 1 H); 2.28 (*s*, Me); 1.86 (*d*, $J > 1$, Me); 1.56 (*s*, Me).

¹⁰) Assignments according to the chemical shifts in the parent compound [16].

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